

#4

PATENT
450101-03303IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Motoki KATO et al.
Int'l Application : PCT/JP01/03413
Int'l Filing Date : 20 April 2001
For : INFORMATION PROCESSING APPARATUS AND
METHOD, PROGRAM AND RECORDING MEDIUM
U.S. Serial No. : 10/018,823

745 Fifth Avenue
New York, New York 10151
Tel. (212) 588-0800

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on April 16, 2002

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature
April 16, 2002
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of
Missing Requirements" (form PCT/DO/EO/905) dated February 28,
2002 on the above application, a Declaration duly signed by the
inventors, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

J:\SONY\03303\PCTMSPRT.COM (WSF:sar)

-1-

PATENT
450101-03303

Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: 

Gordon Kessler

Reg. No. 38,511

Tel. (212) 588-0800

Enclosure

J:\SONY\03303\PCTMSPRT.COM (WSP:az)

-2-

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FROMMER LAWRENCE & HAUG LLP

745 Fifth Avenue
New York, New York 10151
Telephone: (212) 588-0800
Facsimile: (212) 588-0500

FACSIMILE COVER LETTER

To: Ms. Barbara Campbell
Firm: USPTO
Facsimile No.: 703-746-6705
Tel. No. 703-3053631
From: LAILA KORDE/William S. Frommer, Esq.
Date: January 21, 2003
Re: Our Ref.: 450101-03303
U.S. Serial No. 10/018,823

No. of Pages:
(including cover page)

10

Dear Ms. Campbell: Per your request of 1/17/03, we are sending you herewith documents as filed on April 24, 2002 (which includes a signed Declaration). Also find a copy of the postcard return receipt. Thank you for your attention to this matter.



If you do not receive all pages or are unable to read the transmission, please call Laila Korde at 212-863-2011

CONFIDENTIALITY NOTICE

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00107764

JG05 Rec'd PCT/PTO 24 APR 2002

Serial No. 10/018,823 File No. 450101-03303 BY WST/sar

Title In the Matter of the Application of MOTOKI KATO et al.

The following due 4/28 in the U.S. Patent Office, was received in the Patent Office

| | | |
|--|--------------------------------------|---|
| <input type="checkbox"/> Affidavit | <input type="checkbox"/> Declaration | <input type="checkbox"/> Express Mail Mailing Certificate |
| <input type="checkbox"/> Amendment | | <input type="checkbox"/> (separate sheet) |
| <input type="checkbox"/> Preliminary Amendment | | <input checked="" type="checkbox"/> Check No. <u>20713</u> for \$ <u>130-</u> |
| <input type="checkbox"/> Amendment After Final Rejection | | <input type="checkbox"/> Deposit Account Order Form |
| <input type="checkbox"/> Request for Extension of Time | | <input type="checkbox"/> Drawing <u> </u> Sheet(s) |
| <input type="checkbox"/> Provisional Patent Application | | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Application for Patent, including | | <input type="checkbox"/> PTO Form 1449 |
| <u> </u> Pages Specification <u> </u> Claims | | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Oath <input type="checkbox"/> Power | | <input type="checkbox"/> Brief <input type="checkbox"/> Letter |
| <input type="checkbox"/> Request for Filing Continuation or Divisional | | <input type="checkbox"/> Application for TM Registration |
| Application <u> </u> sheets, in duplicate | | Including <u> </u> Specimens |
| <input type="checkbox"/> File Wrapper Continuation Patent Application | | <input type="checkbox"/> Status Request <input type="checkbox"/> Notice of Appeal |
| <u> </u> sheets, in duplicate | | <input type="checkbox"/> Petition <input type="checkbox"/> Response |
| <input type="checkbox"/> PCT Request <u> </u> sheets, including | | <input type="checkbox"/> Priority Document |
| Transmittal Letter to the US/RO | | <input type="checkbox"/> Small Entity Declaration |
| <input type="checkbox"/> Assignment <input type="checkbox"/> Recordation Cover Sheet | | <input checked="" type="checkbox"/> <u>Communication</u> |

Mailed: 4/16/02

Notice to file missing parts

745 FIFTH AVENUE
NEW YORK, NY 10151

PAY TO THE
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

THE SUMMIT'S GOLF COURSE

DO LAPS

THE BANK OF NEW YORK

678 MADISON AVENUE
NEW YORK, NY 10022

CHARGE ANY APPLICATES TO DEPOSIT ACCT #50-0020

FROMMER LAWRENCE & HAUG LLP

THE UNIVERSITY OF CHICAGO

DETACH AND RETAIN THIS STATEMENT

THE ATTACHED CHECKS IN PAYMENT OF ITEMS DESCRIBED BELOW.
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

FROMMER LAWRENCE & HAUG LLP

APPLICANT

SERIAL NO.

III

KLO TO K KATO et al

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FILE NUMBER: 450101-03302

84-2362-1000

Nutrition and Reading Medicine

- ☐ APPEAL BRIEF
- ☐ APPEAL FEE
- ☐ CERTIFICATE OF CORRECTION
- ☐ DISCLAIMER
- ☐ EXTENSION OF TIME
- ☐ FEE FOR ADDED CLAIMS
- ☐ FILING FEE
- ☐ ISSUE FEE
- ☐ MAINTENANCE FEE
- ☒ MISSING PARTS
- ☐ NOTICE OF OPPOSITION

- ☐ FEE ON THE PETITION
☐ PETITION FOR CANCELLATION
☐ PETITION TO REVOKE
☐ RECORDING FEE
☐ REQUEST FOR ORAL HEARING
☐ SEC. 2 TM DECLARATION FEE
☐ STATEMENT OF USE
☐ TM RENEWAL

☒ PLEASE CHARGE ANY ADDITIONAL FEES OR CREDIT OVER PAYMENT TO DEPOSIT ACCOUNT NO. 50-0320

CHARGE TO

| NAME OF CLIENT OR ACCOUNT | FILE NO. | ATTORNEY | AMOUNT |
|---------------------------|--------------|----------|----------|
| Sony | 150101-03303 | WSF/sar | \$130.00 |

Download from: 604968888888 at 1/21/20 9:27:00 AM Eastern Standard Time



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

| | | |
|-------------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/018,823 | Motoki Kato | 450101-03303 |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/JP01/03413 | | |
| LA. FILING DATE | PRIORITY DATE | |
| 04/20/2001 | 04/21/2000 | |

William S Frommer
 Frommer Lawrence & Haug
 745 Fifth Avenue
 New York, NY 10151

CONFIRMATION NO. 1737

371 FORMALITIES LETTER



OC000000007527021

DOCKETED

Date Mailed: 02/28/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

FILED MAR -7 A 10:52
 FROMMER, LAWRENCE
 & HAUG, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO | ATTY. DOCKET NO |
|-----------------------------|------------------------------|-----------------|
| 10/018,823 | PCT/JP01/03413 | 450101-03303 |

FORM PCT/DO/EO/905 (371 Formalities Notice)